

## Hall, John F. (HQ-TH000)

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**From:** Heimerl, N Lynn (LARC-B7)  
**Sent:** Monday, March 18, 2013 1:57 PM  
**To:** Hall, John F. (HQ-TH000)  
**Cc:** Heimerl, N Lynn (LARC-B7); Seaton, Jeffrey M. (LARC-B7); Petraska, Karen E. (HQ-JD000); Cox, Gary (HQ-JA000)  
**Subject:** RE: NTRS shutdown

I am told that it is down and I just verified that this is correct.

Lynn

-----Original Message-----

**From:** Heimerl, N Lynn (LARC-B7)  
**Sent:** Monday, March 18, 2013 1:47 PM  
**To:** Hall, John F. (HQ-TH000)  
**Cc:** Heimerl, N Lynn (LARC-B7)  
**Subject:** RE: NTRS shutdown

I have a call into CASI to take it offline.

Lynn

-----Original Message-----

**From:** Hall, John F. (HQ-TH000)  
**Sent:** Monday, March 18, 2013 1:43 PM  
**To:** Heimerl, N Lynn (LARC-B7)  
**Cc:** Condes, Albert (HQ-TA000); Kieffer, Margaret (HQ-TH000); Flynn, David Thomas. (HQ-TH000)  
**Subject:** NTRS shutdown

Lynn: Good afternoon. I just left you a phone message, but I wanted to make sure that you get this as soon as possible. For the time being, the Associate Administrator, Robert Lightfoot, has requested that the NTRS be taken down, pending further notice. This is in response to the concerns about potentially export-controlled documents present on the server.

I know that this will cause you no small amount of difficulty, but it comes from the top.

Thanks.

JFH.

## Hall, John F. (HQ-TH000)

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**From:** Heimerl, N Lynn (LARC-B7)  
**Sent:** Monday, March 18, 2013 4:26 PM  
**To:** Hall, John F. (HQ-TH000)  
**Cc:** Condes, Albert (HQ-TA000); Kieffer, Margaret (HQ-TH000); Flynn, David Thomas. (HQ-TH000); Heimerl, N Lynn (LARC-B7)  
**Subject:** RE: NTRS shutdown  
**Importance:** High

Hi, John:

The short-term (one or two days) impact of taking NTRS offline should be minimal other than for those authors who may be looking for their own R&D work to reference or users who cannot get to the content of others. Many people may believe that there is a short-term technology issue.

Longer term (week or more) NTRS is one of NASA's primary alignments with the Space Act, and is harvested by a number of Federal and nonfederal entities, such as Google, Bing, and Yahoo. [We typically have 23M hits per month.] It is also one of the US major aerospace databases. We also provide NASA content to other Federal Government information collections, including Science.Gov and Open.Gov, etc. NTRS has an information desk associated with it to assist public users locate NASA information, and these users will be wondering how and where to locate content. NASA will need a uniform answer to respond to inquiries from this Information Desk. NTRS also receives a number of FOIA requests, so this will need to be coordinated with the NASA FOIA representative. NASA Librarians will not be able to locate documents across the Centers since NTRS is one of the few repositories that is not limited to internal Center information.

Hope that this summarizes the impacts of taking NTRS offline. If you need more information, please let me know.

Regards,

Lynn Heimerl

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**From:** Hall, John F. (HQ-TH000)  
**Sent:** Monday, March 18, 2013 4:09 PM  
**To:** Heimerl, N Lynn (LARC-B7)  
**Cc:** Condes, Albert (HQ-TA000); Kieffer, Margaret (HQ-TH000); Flynn, David Thomas. (HQ-TH000)  
**Subject:** FW: NTRS shutdown

Thanks (again), Lynn. Half-hour or so would be great.

JFH.

Begin forwarded message:

**From:** "Lightfoot, Robert M. (MSFC-AI000)" <[robert.m.lightfoot@nasa.gov](mailto:robert.m.lightfoot@nasa.gov)>  
**Date:** March 18, 2013 2:02:49 PM EDT

**To:** "Condes, Albert (HQ-TA000)" <[acondes@nasa.gov](mailto:acondes@nasa.gov)>  
**Subject:** RE: NTRS shutdown

Can you get me a reader's digest version of the "difficulty" this will cause?

Thanks

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**From:** Condes, Albert (HQ-TA000)  
**Sent:** Monday, March 18, 2013 1:58 PM  
**To:** Roe, Lesa B. (LARC-A)  
**Cc:** Lightfoot, Robert M. (MSFC-AI000)  
**Subject:** Fwd: NTRS shutdown

Lisa:

We made this call earlier today and I wanted you to be aware of the direction.

Al

Sent from my iPhone

Begin forwarded message:

**From:** "Hall, John F. (HQ-TH000)" <[john.f.hall@nasa.gov](mailto:john.f.hall@nasa.gov)>  
**Date:** March 18, 2013 1:47:59 PM EDT  
**To:** "Heimerl, N Lynn (LARC-B7)" <[n.l.heimerl@nasa.gov](mailto:n.l.heimerl@nasa.gov)>  
**Cc:** "Condes, Albert (HQ-TA000)" <[acondes@nasa.gov](mailto:acondes@nasa.gov)>, "Flynn, David Thomas. (HQ-TH000)" <[david.flynn@nasa.gov](mailto:david.flynn@nasa.gov)>, "Kieffer, Margaret (HQ-TH000)" <[margaret.kieffer@nasa.gov](mailto:margaret.kieffer@nasa.gov)>  
**Subject:** RE: NTRS shutdown

Thanks, Lynn.

JFH.

-----Original Message-----

**From:** Heimerl, N Lynn (LARC-B7)  
**Sent:** Monday, March 18, 2013 1:47 PM  
**To:** Hall, John F. (HQ-TH000)  
**Cc:** Heimerl, N Lynn (LARC-B7)  
**Subject:** RE: NTRS shutdown

I have a call into CASI to take it offline.

Lynn

-----Original Message-----

**From:** Hall, John F. (HQ-TH000)  
**Sent:** Monday, March 18, 2013 1:43 PM  
**To:** Heimerl, N Lynn (LARC-B7)  
**Cc:** Condes, Albert (HQ-TA000); Kieffer, Margaret (HQ-TH000); Flynn, David Thomas. (HQ-TH000)

Subject: NTRS shutdown

Lynn: Good afternoon. I just left you a phone message, but I wanted to make sure that you get this as soon as possible. For the time being, the Associate Administrator, Robert Lightfoot, has requested that the NTRS be taken down, pending further notice. This is in response to the concerns about potentially export-controlled documents present on the server.

I know that this will cause you no small amount of difficulty, but it comes from the top.

Thanks.

JFH.

## Hall, John F. (HQ-TH000)

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**From:** Heimerl, N Lynn (LARC-B7)  
**Sent:** Thursday, March 21, 2013 1:49 PM  
**To:** Hall, John F. (HQ-TH000)  
**Cc:** Heimerl, N Lynn (LARC-B7); Petraska, Karen E. (HQ-JD000); DIAZ, DEBORAH (HQ-JA000); Cox, Gary (HQ-JA000); DUH, J.C. (HQ-JD000); Seaton, Jeffrey M. (LARC-B7); Evans, John L. (LARC-B7); Johansen, Laurie W. (LARC-B7)  
**Subject:** Cost for the NF-1676 Review for NTRS  
**Importance:** High

Hi, John:

To determine what documents on NTRS have a NF-1676 (or an older form with an export control signature), it will take about 11 people, working varying hours, to complete the task. We estimated a start date of May, 2013, with a completion date of May 31, 2013.

The cost will run approximately \$42,000 (\$40,000 for reviews and \$2,000 for reload, validation, and changes to the metadata). The reason for May start is that this is a fixed-price contract and this does not fall within the base work but under the IDIQ portion.

This covers only the NASA documents. We need to know about the 1,000 documents previously cleared for public release by Tim Woods, LaRC ECA and the Agency Clearance team, which would not have NF-1676s. We also need to know if you require NF-1676 for the NIX (NASA Image Exchange) images and videos, most of which are not considered to be STI.

Due to a 23% (original 8% and new 15%) budget reduction in FY 13, STI does not currently have this funding.

Thanks.

Best Regards,

Lynn Heimerl

## Hall, John F. (HQ-TH000)

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**From:** Heimerl, N Lynn (LARC-B7)  
**Sent:** Tuesday, March 26, 2013 2:14 PM  
**To:** Flynn, David Thomas. (HQ-TH000)  
**Cc:** Heimerl, N Lynn (LARC-B7); Seaton, Jeffrey M. (LARC-B7); Evans, John L. (LARC-B7); DIAZ, DEBORAH (HQ-JA000); Hall, John F. (HQ-TH000); Mangum, Cathy H. (LARC-D4); DiGiosaffatte, Angela (LARC-D405)  
**Subject:** RE: URGENT - Please Review by 1500 EDT - RE: Draft Paper on Removal of Documents from NTRS  
**Attachments:** Draft Paper\_Rev 2\_26 March 2013\_REMOVING DOCUMENTS FROM NTRS.STI.docx  
**Importance:** High

Hi, David:

Our changes are indicated under the Track Changes feature in the attached document.

Thanks for letting us review and provide corrections.

Best Regards,

Lynn H

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**From:** Flynn, David Thomas. (HQ-TH000)  
**Sent:** Tuesday, March 26, 2013 1:43 PM  
**To:** Richards, David (HQ-TH000)[GENERAL DYNAMICS INFORMATION TECHNOLOGY (GDIT)]; Heimerl, N Lynn (LARC-B7); DiGiosaffatte, Angela (LARC-D401A)  
**Cc:** Hodgdon, Kenneth Michael (HQ-TH000); Jackson, Collins M. (HQ-TH000)[GENERAL DYNAMICS INFORMATION TECHNOLOGY (GDIT)]  
**Subject:** Re: URGENT - Please Review by 1500 EDT - RE: Draft Paper on Removal of Documents from NTRS

Ken...we need at least some order of estimate to complete the review...assume current available resources. David

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**From:** Richards, David (HQ-TH000)[GENERAL DYNAMICS INFORMATION TECHNOLOGY (GDIT)]  
**Sent:** Tuesday, March 26, 2013 12:25 PM Central Standard Time  
**To:** Heimerl, N Lynn (LARC-B7); DiGiosaffatte, Angela (LARC-D401A)  
**Cc:** Flynn, David Thomas. (HQ-TH000); Hodgdon, Kenneth Michael (HQ-TH000); Jackson, Collins M. (HQ-TH000)[GENERAL DYNAMICS INFORMATION TECHNOLOGY (GDIT)]  
**Subject:** URGENT - Please Review by 1500 EDT - RE: Draft Paper on Removal of Documents from NTRS

Hi Lynn and Angela –

The attached paper will be used to support a meeting with Robert Lightfoot this afternoon.

If you have any comments to the draft paper, please send them by 1500 EST. If you have no comments, please let us know that as well.

Thanks for your assistance.

V/R,

Dave

Regards,  
Dave Richards  
Senior Engineer / Export Control Systems Analyst  
Certified U.S. Export Compliance Officer  
General Dynamics Information Technology (GDIT)  
NASA HQ, OIIR/ECILD, 2R23  
300 E Street, SW, Washington DC, 20546  
(202) 358-2270 direct  
(202) 358-4080 fax

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**From:** Hodgdon, Kenneth Michael (HQ-TH000)  
**Sent:** Tuesday, March 26, 2013 12:54 PM  
**To:** Richards, David (HQ-TH000)[GENERAL DYNAMICS INFORMATION TECHNOLOGY (GDIT)]  
**Cc:** Flynn, David Thomas. (HQ-TH000); Jackson, Collins M. (HQ-TH000)[GENERAL DYNAMICS INFORMATION TECHNOLOGY (GDIT)]  
**Subject:** RE: Draft Paper on Removal of Documents from NTRS

David,

Please have the NASA STI Program Office and the LaRC CEA review by 3 PM today.

Thank you,  
Ken

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**From:** Richards, David (HQ-TH000)[GENERAL DYNAMICS INFORMATION TECHNOLOGY (GDIT)]  
**Sent:** Tuesday, March 26, 2013 11:39 AM  
**To:** Hodgdon, Kenneth Michael (HQ-TH000)  
**Cc:** Flynn, David Thomas. (HQ-TH000); Hodgdon, Kenneth Michael (HQ-TH000)  
**Subject:** Draft Paper on Removal of Documents from NTRS

Hi Ken –

Here's a draft of the paper you requested this morning.

V/R,  
Dave

Regards,  
Dave Richards  
Senior Engineer / Export Control Systems Analyst  
Certified U.S. Export Compliance Officer  
General Dynamics Information Technology (GDIT)  
NASA HQ, OIIR/ECILD, 2R23  
300 E Street, SW, Washington DC, 20546  
(202) 358-2270 direct  
(202) 358-4080 fax

**Hall, John F. (HQ-TH000)**

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**From:** Heimerl, N Lynn (LARC-B7)  
**Sent:** Friday, March 29, 2013 12:02 PM  
**To:** Hall, John F. (HQ-TH000)  
**Cc:** Heimerl, N Lynn (LARC-B7)  
**Subject:** Clarification for NTRS

**Importance:** High

.John:

We are getting started on our NTRS review.

Just to be sure I am correct, if an older version of the NF-1676 does have an export control approval and signature that we can use this, including a Center Form with export control approval and signature?

We have a few older forms or Center forms that do have export control approvals and signature but they are not the modern NF-1676.

Thanks.

Regards,

Lynn



## Hall, John F. (HQ-TH000)

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**From:** Hall, John F. (HQ-TH000)  
**Sent:** Monday, April 01, 2013 3:17 PM  
**To:** Heimerl, N Lynn (LARC-B7)  
**Cc:** Flynn, David Thomas. (HQ-TH000); Dixon, Ann E. (LARC-B7)[Chugach Federal Solutions, Inc.]; Kieffer, Margaret (HQ-TH000); Condes, Albert (HQ-TA000)  
**Subject:** RE: IMPORTANT - NTRS - Bringing it Back Online

Yes, Ma'am. Thanks.

JFH.

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**From:** Heimerl, N Lynn (LARC-B7)  
**Sent:** Monday, April 01, 2013 9:17 AM  
**To:** Hall, John F. (HQ-TH000)  
**Cc:** Flynn, David Thomas. (HQ-TH000); Dixon, Ann E. (LARC-B7)[Chugach Federal Solutions, Inc.]  
**Subject:** IMPORTANT - NTRS - Bringing it Back Online  
**Importance:** High

Hi, John:

My understanding is that any version of the NF-1676 form that has an export control approval and signature showing there was an export control review is acceptable.

Do you concur, please?

Thanks.

Regards,

Lynn

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**From:** Dixon, Ann E. (LARC-B7)[Chugach Federal Solutions, Inc.]  
**Sent:** Monday, April 01, 2013 8:21 AM  
**To:** Heimerl, N Lynn (LARC-B7)  
**Subject:** RE: NTRS - Bringing It Back Online  
**Importance:** High

Good morning, Lynn. Hope you had a nice weekend.

I just wanted to remind you about this outstanding issue. Mike, Jen and Tony worked over the weekend to do the prep work for this project and there's going to be a kick-off mtg @ 10am today. As soon as it's over, our CM staff will begin work on the phase 2 review. It would be great to have an answer by then so we don't have to put in a place a temporary work-around.

Ann E. Dixon, PMP  
Chugach Federal Solutions, Inc.

## Hall, John F. (HQ-TH000)

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**From:** Heimerl, N Lynn (LARC-B7)  
**Sent:** Tuesday, April 23, 2013 9:37 AM  
**To:** Hall, John F. (HQ-TH000)  
**Cc:** Heimerl, N Lynn (LARC-B7); Seaton, Jeffrey M. (LARC-B7); Condes, Albert (HQ-TA000)  
**Subject:** Request to Return NTRS to Active Status

Hi, John:

A team of NASA Center for AeroSpace Information (CASI) and STIPO personnel have reviewed (via automation and manual processes) and validated ~820,000 records of Phase 1 (that list we agreed to) and about 38,000 documents with NF-1676s (Phase 2) to ensure all have clearance with an export control approval to be in the public domain or are not considered STI.

Our review is now complete, and we recommend that the NTRS be brought back online.

We will need to continue to work Phase 3 (248,000 documents) that will need to be sent back to the Centers to obtain a current NF-1676 with export control signature. These documents are the older documents that met the previous STI Dissemination Policy, had release approved via a previous version of the STI release form, or were on the NTRS and in the public domain when LARC and STIPO took over the public server.

We look forward to your approval.

Thanks.

Best Regards,

Lynn Heimerl, STIPO

## Hall, John F. (HQ-TH000)

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**From:** Heimerl, N Lynn (LARC-B7)  
**Sent:** Wednesday, May 01, 2013 1:01 PM  
**To:** Hall, John F. (HQ-TH000)  
**Cc:** Flynn, David Thomas. (HQ-TH000); Hodgdon, Kenneth Michael (HQ-TH000)  
**Subject:** Plan to Review Rest of NTRS Documents

Hi, John:

This is for after we bring NTRS back online. I believe we are still waiting for the memo from Lightfoot for the ok to reinstate NTRS?

When you get a moment, we probably need to decide how to have export control review the remaining 248,000 documents from NTRS. One suggestion would be to provide the metadata and abstracts for these and get the ECA folks access to NA&SD (internal database) in case they want/need to review the full text. This worked really well for Tim Woods and the Agency team that cleared some NACA documents.

One question is should these go back to the ECAs from the originating Center or set up an Agency team in ECA?

One issue is that there are a lot of additional NACA documents involved, and this would mean a heavy focus on LARC, GRC, and ARC. We might want to parcel those out to additional Centers?????

Another question. Since most of these documents are older and probably no technical folks are around to review them, do you still want a full NF-1676 on these or just a documented export control review?

Thanks.

Regards,

Lynn H